

**Direct Addressing** - key is index into array =>  $O(1)$  lookup

**Hash table:**

-hash function maps key to index in table

-if  $|\text{universe of keys}| > \# \text{ table entries}$  then hash functions collision are guaranteed => need

**Collision resolution - how to handle collisions**

Changing - table entries are linked lists, colliding elements are elements of the same list

load factor:  $\alpha = N/M = \text{average number of elements per bucket}$

For perfect hash function (ie:  $\text{Pr}[h(i) = h(j)] = 1/M$ )

-search takes  $O(1 + \alpha)$

-insert takes  $O(1)$  [just have to append to linked list]

-searches gradually take longer as load factor increases

Open addressing - if collision occurs keep searching for empty space

Linear probing:  $i$ th probe at  $[h(k) + i] \% M$

pros: if empty space exists, guaranteed to find it

cons: clusters for in table => decreased performance

cluster - group of adjacent occupied cells

if first half full then insert is  $O(n)$

Quadratic probing:  $i$ th probe at  $[h(k) + i^2] \% M$

-mitigates clustering problem (still can have 2nd order clusters)

Double hashing:

-use two hash functions

- $i$ th probe at  $[h_1(k) + h_2(k)*i] \% M$

Cons:

-to disambiguate between empty slot and one that used to be occupied need

ghost

-must add ghost elements when an element is deleted

Dynamic hashing - increase table size and rehash when load factor get too high

**Hashing**

Pros:  $O(1)$  insert, search, remove (if done right)

Cons:

-table does not maintain element order ie:  $n$ th element is  $O(n)$

-requires more memory than trees (in order for load factor to be small)

**Hash Functions:**

Hash code: maps key to integer

Compression function: maps integer to index in table (use modulus)

-should be deterministic and fast

-want to minimize collisions

ex:

$\text{Hash}(i) \rightarrow i \% M$  [ $M = \text{table size}$ ]

$\text{Hash}(i) \rightarrow \text{floor}(i * \alpha) \% M$

$\text{Hash}(c_0 || c_1 || \dots || c_{l-1})$ :

return  $c_0 a^{l-1} + \dots + c_{l-1} a^0$

Amortized Analysis:

- consider average cost over a sequence of operations
- occasionally pay high cost (ex: rehashing), but over sequence of operations, average still ok

## Trees

- direct connected acyclic graph
- each node has unique parent (except root which has none)

Node: (for binary tree)

value  
left child  
right child

Internal node - node with children

Leaf node - node with no children

Binary tree - each node has at most 2 children

proper binary tree - all internal nodes have two children

Complete binary tree - all levels have max # nodes possible except for lowest which is filled left to right

max height = max depth

## Implementation

- array based (think binary heaps)
  - not space efficient for sparse trees [ $O(2^n)$  for "linked list" tree]
- pointer based

## General Tree - can have arbitrarily many children

Converting general tree to binary tree (think pairing heaps)

- left pointer points to first child, right pointer points to next sibling

## Tree Traversal

Preorder: Node, Left, Right

Inorder: Left, Node, Right

Post order: Left, Right, Node

-forms of depth first search (the only difference between these modes is when a value is handled)

-pre order, post order and level order generalize to general trees

Level order: each level traverse left to right and then top down

-think breadth first search, use queue

## Binary Search Trees

-invariant left child's key  $\leq$  node's key  $\leq$  right child's key [if left and right children exist]

-for complete binary search tree searches take  $O(\log(n))$  time,  $O(n)$  for "linked list" tree  $O(n)$  for unordered trees

-order => finding nth largest possible (in order traversal that stops at nth element) Q: how to do this in  $< O(n)$

Given set of keys, if you always insert the largest or smallest left => tree becomes zig-zagged  
listed list => search, insert,  $O(n)$

Complexity depends on height => want balanced tree with low height

Deletion:

-if node has  $\leq 1$  child then deletion easy

-if node has 2 children, swap with either its inorder successor, or its inorder predecessor  
then remove (inorder predecessor guaranteed not to have right child)

Insert, delete, search  $O(n)$  in worst case :(

=> need tree that maintains balance of tree

tree vs hash table: search tree maintains elt order

### AVL Trees

balance(node) = height(left child) - height(right child)

invariant: for each node,  $-1 \leq \text{balance}(\text{node}) \leq 1$

Claim: if invariant holds, then height of tree is  $O(\log N)$

Let  $n(h)$  = min number of nodes for tree of height  $h$

$n(0) = 0, n(1) = 1$

For  $h > 1$  minimal tree formed by taking minimal trees whose heights differ by 1

$n(h) = n(h - 1) + n(h - 2)$

=> Fibonacci numbers are recurrences closed form solution

=>  $n(h) \approx \frac{\phi^h}{\sqrt{5}}$

So  $n(h) = \Omega(2^h) \Rightarrow h = O(\log(n))$  TODO: check this

Corollary: search is  $O(\log N)$

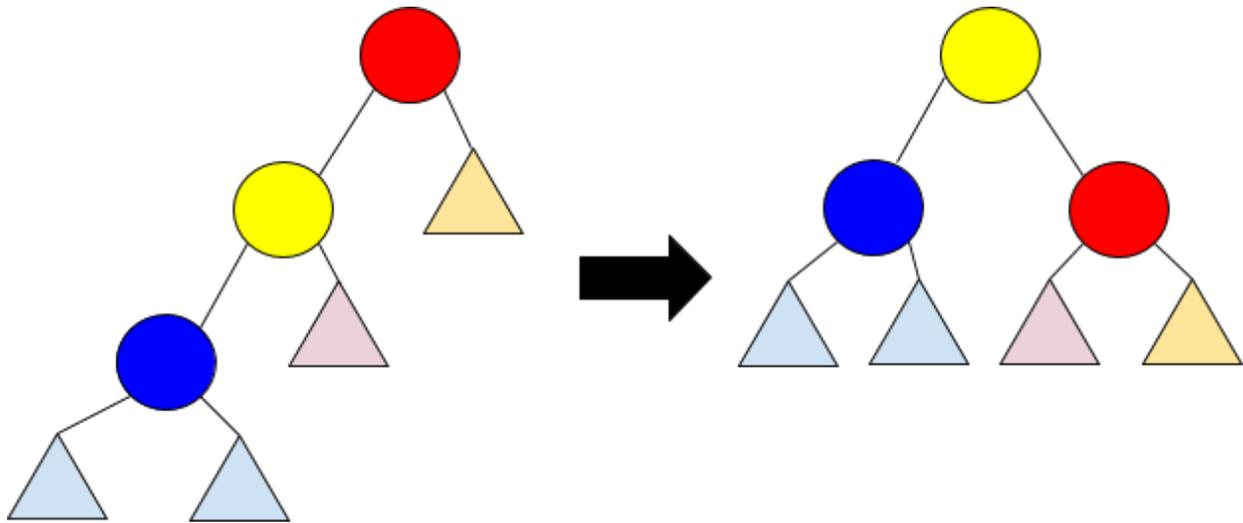
Problem: Normal insert or delete could make tree unbalance.

Solution: starting with newly inserted or deleted node, more up tree and rebalance using rotations

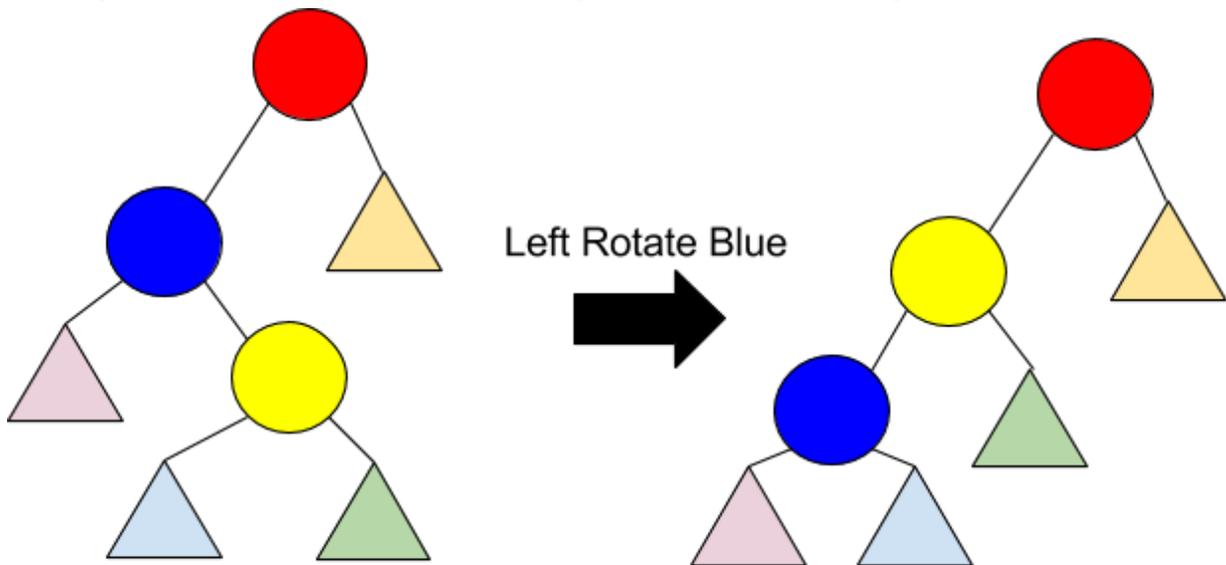
### Rotations

4 cases

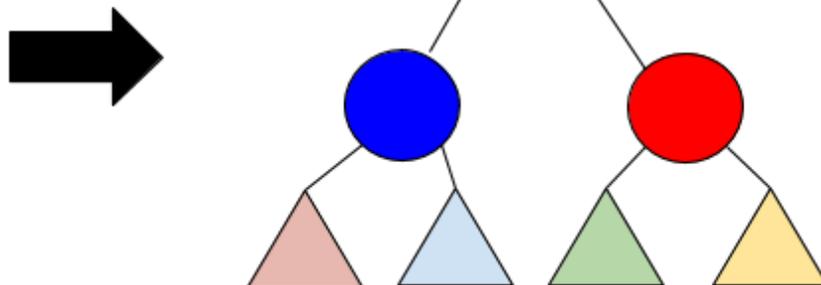
Right Rotation (Left Rotation analogous)



Left Right Rotation ie: double rotation (Right Left Rotation analogous)



Right Rotate Red



insertion: insert as normal then rebalance

deletion: delete as normal then rebalance

search, min, max, successor, predecessor - same as BST

Time to rebalance after insert or delete is  $O(\log(n)) \Rightarrow$  insert, delete  $O(\log(n))$

## Graphs

Def: Let  $V$  be a set of vertices and  $E \subseteq V \times V$  be a set of edges connecting these vertices. Then  $G = (V, E)$  is called a graph

Directed graph - vertices that comprise edges are ordered ie: edges have directions

Undirected graphs - edges do not have directions

Weighted graph - edges have weights

Simple graph - no parallel edges or self loops

Multi graph - allows parallel edges

## Representations:

adjacency list: array with entry for each vertex, array entries are lists of elements adjacent to vertex

-requires  $O(|V| + |E|)$  space [technically  $|V| + 2|E|$  for undirected graphs]

-check for existence of edge takes  $O(|V|)$  worst case

adjacency matrix:  $m_{ij} = 1$  iff edge from  $i$  to  $j$

-matrix symmetric for undirected graphs

- $O(V^2)$  space

- $O(1)$  time to check for edge

-store edge weights for weighted graphs or infinity if edge does not exist

Sparse graph:  $|E| \ll |V^2|$  or  $|E| \sim |V|$

-use adjacency list

Dense graph:  $|E| \sim |V^2|$

-use adjacency matrix

Path - sequences of vertices where each is connected to the previous

Simple path - path that does not contain the same vertex twice

Cycle - path from a vertex to itself (removing starting/ending vertex should yield simple path)

Connected - paths exist between all pairs of vertices

## Depth First Search

put starting node on stack

while stack not empty

    visit top node (and pop it from stack)

    add unvisited neighbors of node to stack





