

**Direct Addressing** - key is index into array =>  $O(1)$  lookup

**Hash table:**

-hash function maps key to index in table

-if  $|\text{universe of keys}| > \# \text{ table entries}$  then hash functions collision are guaranteed => need

**Collision resolution - how to handle collisions**

Changing - table entries are linked lists, colliding elements are elements of the same list

load factor:  $\alpha = N/M = \text{average number of elements per bucket}$

For perfect hash function (ie:  $\Pr[h(i) = h(j)] = 1/M$ )

-search takes  $O(1 + \alpha)$

-insert takes  $O(1)$  [just have to append to linked list]

-searches gradually take longer as load factor increases

Open addressing - if collision occurs keep searching for empty space

Linear probing:  $i$ th probe at  $[h(k) + i] \% M$

pros: if empty space exists, guaranteed to find it

cons: clusters for in table => decreased performance

cluster - group of adjacent occupied cells

if first half full then insert is  $O(n)$

Quadratic probing:  $i$ th probe at  $[h(k) + i^2] \% M$

-mitigates clustering problem (still can have 2nd order clusters)

Double hashing:

-use two hash functions

- $i$ th probe at  $[h_1(k) + h_2(k)*i] \% M$

Cons:

-to disambiguate between empty slot and one that used to be occupied need

ghost

-must add ghost elements when an element is deleted

Dynamic hashing - increase table size and rehash when load factor get too high

**Hashing**

Pros:  $O(1)$  insert, search, remove (if done right)

Cons:

-table does not maintain element order ie:  $n$ th element is  $O(n)$

-requires more memory than trees (in order for load factor to be small)

**Hash Functions:**

Hash code: maps key to integer

Compression function: maps integer to index in table (use modulus)

-should be deterministic and fast

-want to minimize collisions

ex:

$\text{Hash}(i) \rightarrow i \% M$  [ $M = \text{table size}$ ]

$\text{Hash}(i) \rightarrow \text{floor}(i * \alpha) \% M$

$\text{Hash}(c_0 || c_1 || \dots || c_{l-1})$ :

return  $c_0 a^{l-1} + \dots + c_{l-1} a^0$

Amortized Analysis:

- consider average cost over a sequence of operations
- occasionally pay high cost (ex: rehashing), but over sequence of operations, average still ok

## Trees

- direct connected acyclic graph
- each node has unique parent (except root which has none)

Node: (for binary tree)

value  
left child  
right child

Internal node - node with children

Leaf node - node with no children

Binary tree - each node has at most 2 children

proper binary tree - all internal nodes have two children

Complete binary tree - all levels have max # nodes possible except for lowest which is filled left to right

max height = max depth

## Implementation

- array based (think binary heaps)
  - not space efficient for sparse trees [ $O(2^n)$  for "linked list" tree]
- pointer based

## General Tree - can have arbitrarily many children

Converting general tree to binary tree (think pairing heaps)

- left pointer points to first child, right pointer points to next sibling

## Tree Traversal

Preorder: Node, Left, Right

Inorder: Left, Node, Right

Post order: Left, Right, Node

-forms of depth first search (the only difference between these modes is when a value is handled)

-pre order, post order and level order generalize to general trees

Level order: each level traverse left to right and then top down

-think breadth first search, use queue

## Binary Search Trees

-invariant left child's key  $\leq$  node's key  $\leq$  right child's key [if left and right children exist]

-for complete binary search tree searches take  $O(\log(n))$  time,  $O(n)$  for "linked list" tree  $O(n)$  for unordered trees

-order => finding nth largest possible (in order traversal that stops at nth element) Q: how to do this in  $< O(n)$

Given set of keys, if you always insert the largest or smallest left => tree becomes zig-zagged  
listed list => search, insert,  $O(n)$

Complexity depends on height => want balanced tree with low height

Deletion:

-if node has  $\leq 1$  child then deletion easy

-if node has 2 children, swap with either its inorder successor, or its inorder predecessor  
then remove (inorder predecessor guaranteed not to have right child)

Insert, delete, search  $O(n)$  in worst case :(

=> need tree that maintains balance of tree

tree vs hash table: search tree maintains elt order

### AVL Trees

balance(node) = height(left child) - height(right child)

invariant: for each node,  $-1 \leq \text{balance}(\text{node}) \leq 1$

Claim: if invariant holds, then height of tree is  $O(\log N)$

Let  $n(h)$  = min number of nodes for tree of height  $h$

$n(0) = 0, n(1) = 1$

For  $h > 1$  minimal tree formed by taking minimal trees whose heights differ by 1

$n(h) = n(h - 1) + n(h - 2)$

=> Fibonacci numbers are recurrences closed form solution

=>  $n(h) \approx \frac{\phi^h}{\sqrt{5}}$

So  $n(h) = \Omega(2^h) \Rightarrow h = O(\log(n))$  TODO: check this

Corollary: search is  $O(\log N)$

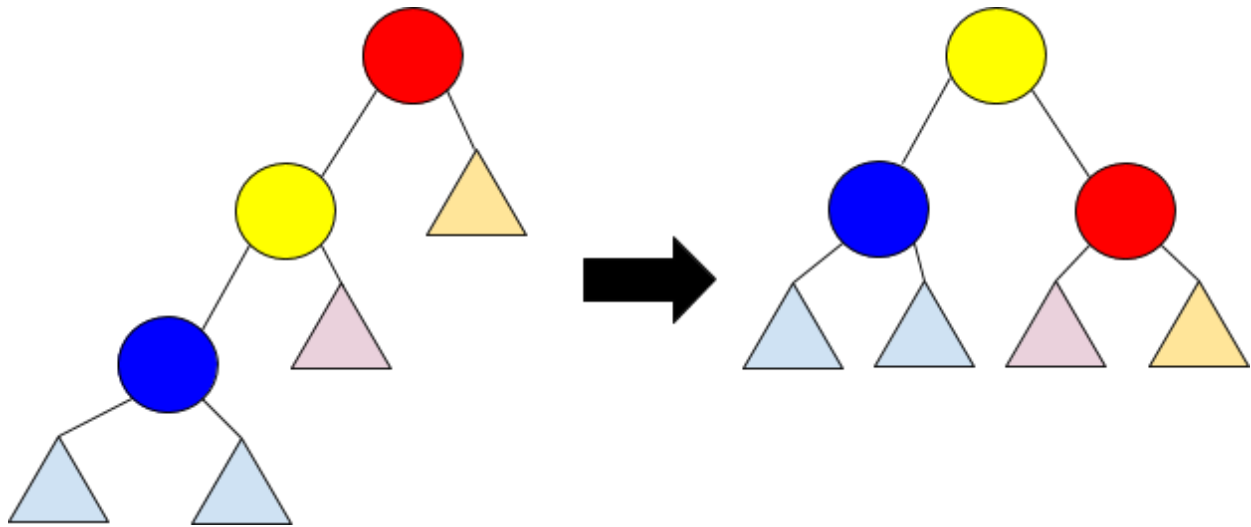
Problem: Normal insert or delete could make tree unbalance.

Solution: starting with newly inserted or deleted node, more up tree and rebalance using rotations

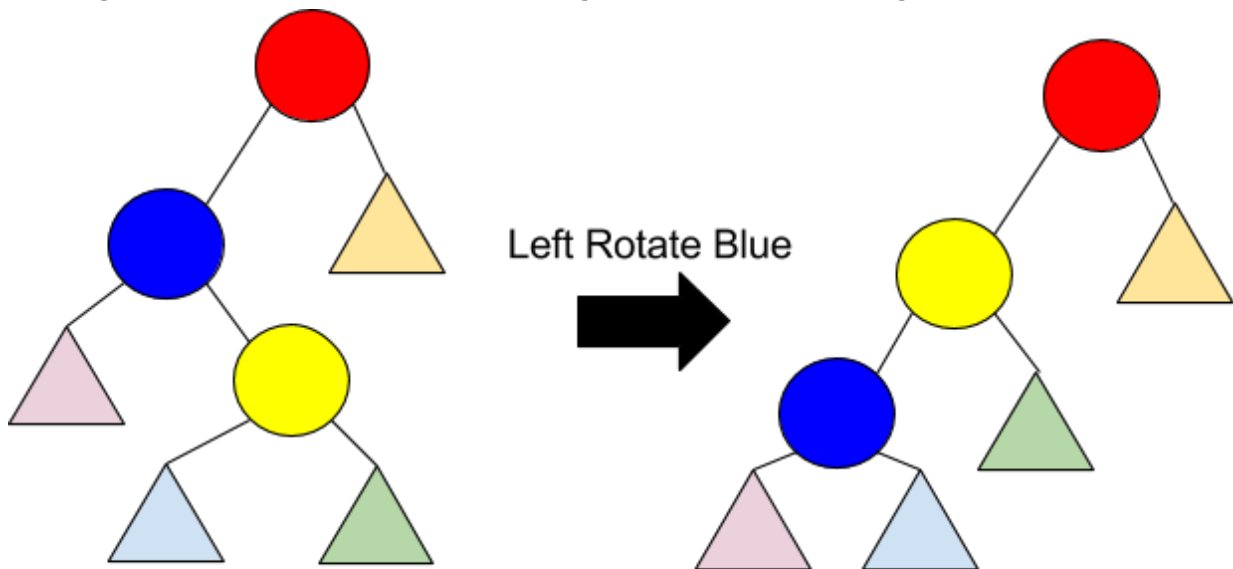
### Rotations

4 cases

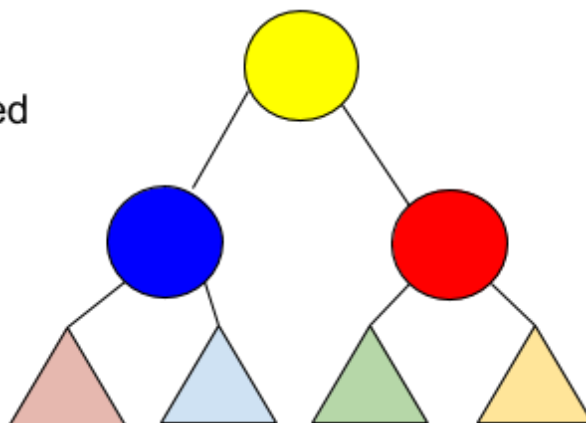
Right Rotation (Left Rotation analogous)



Left Right Rotation ie: double rotation (Right Left Rotation analogous)



Right Rotate Red



insertion: insert as normal then rebalance

deletion: delete as normal then rebalance

search, min, max, successor, predecessor - same as BST

Time to rebalance after insert or delete is  $O(\log(n)) \Rightarrow$  insert, delete  $O(\log(n))$

## Graphs

Def: Let  $V$  be a set of vertices and  $E \subseteq V \times V$  be a set of edges connecting these vertices. Then  $G = (V, E)$  is called a graph

Directed graph - vertices that comprise edges are ordered ie: edges have directions

Undirected graphs - edges do not have directions

Weighted graph - edges have weights

Simple graph - no parallel edges or self loops

Multi graph - allows parallel edges

## Representations:

adjacency list: array with entry for each vertex, array entries are lists of elements adjacent to vertex

-requires  $O(|V| + |E|)$  space [technically  $|V| + 2|E|$  for undirected graphs]

-check for existence of edge takes  $O(|V|)$  worst case

adjacency matrix:  $m_{ij} = 1$  iff edge from  $i$  to  $j$

-matrix symmetric for undirected graphs

- $O(V^2)$  space

- $O(1)$  time to check for edge

-store edge weights for weighted graphs or infinity if edge does not exist

Sparse graph:  $|E| \ll |V^2|$  or  $|E| \sim |V|$

-use adjacency list

Dense graph:  $|E| \sim |V^2|$

-use adjacency matrix

Path - sequences of vertices where each is connected to the previous

Simple path - path that does not contain the same vertex twice

Cycle - path from a vertex to itself (removing starting/ending vertex should yield simple path)

Connected - paths exist between all pairs of vertices

## Depth First Search

put starting node on stack

while stack not empty

    visit top node (and pop it from stack)

    add unvisited neighbors of node to stack

Visits each vertex once, follows each edge once =>  $O(V + E)$  for adjacency list,  $O(V^2)$  for adjacency matrix

Always finds path between nodes if one exists

### **Breadth First Search**

put starting node at front of queue

while queue not empty

    visit front element (and pop from queue)

    add unvisited neighbors to queue

Finds shortest path to node if all edges have same weight

Use BFS to print tree in level order

Sample complexity analysis as DFS

### **Minimum Spanning Tree**

Problem: Given  $G = (V, E)$ , find subset  $E'$  of  $E$  such that  $G' = (V, E')$  is a tree with minimal edge weight [assuming  $G$  is connected, if  $G$  not connect, then find minimum spanning forest]

-For unweighted graphs all spanning trees are minimum spanning trees

-All MSTs have  $V - 1$  edges (the minimum needed to connect all vertices)

### **Making Change**

Using coins with values: 1, 7, 15 make 21 cents in change

15,1,1,1,1,1,1,1 <= greedy

7,7,7 <= optimal

### **Knapsack**

Integer weights and capacity knapsack

knapsack(capacity, items)

    max\_val = [0] \* (capacity + 1)

    for i in range(1, capacity + 1)

        //try adding each item

        for w, v in items

            if w <= i and max\_val[i - w] + v > max\_val[i]

                max\_val[i] = max\_val[i - w] + v

    return max\_val[capacity]



